

# Engagement Policy

2025



# Engagement policy

Alexander Funds (“Alexander”) recognises the importance of integrating Environmental, Social, and Governance (ESG) considerations into our investment processes.

This policy provides a framework for identifying opportunities for engagement with issuers on ESG related topics across all sectors with the objectives of;



## Mitigating ESG Risks

Address material ESG risks that could negatively impact the creditworthiness or financial stability of issuers.



## Enhance ESG Transparency

Advocate for better ESG disclosures, enabling more informed investment decisions.



## Align with Client Expectations

Ensure our engagement aligns with the preferences and values of our clients regarding ESG integration.

This policy applies to all investments managed by Alexander, including corporate bonds, securitisation vehicles, and other debt instruments.

**Research and monitoring.** Through its research process (detailed in the “ESG Investment Policy and Framework”), any specific discussion or engagement on an ESG related topic is noted for addition to the ESG Engagement Register (please see below). In addition, through the regular monitoring of the broader Alexander investment universe, specific ESG related incidents may be identified and added to the ESG Watchlist (please see below for details).

**ESG engagement register.** The ESG Engagement Register provides a record of all identified, specific ESG issues and engagements for issuers/securities currently held within Alexander portfolios. The register serves as a record of the topic, the nature of any direct engagement and its status in respect of next steps.

**ESG watchlist.** The ESG Watchlist serves a similar purpose to the ESG Engagement Register but for securities not directly held within Alexander portfolios, therefore, potentially providing less opportunity for direct engagement. However, by maintaining a record and any ESG specific issues, it gives the Investment Team relevant information to pursue engagement should the opportunity arise (i.e. the issuer interacting with the market to promote a new bond deal).

This policy will be reviewed at least annually by the ESG Committee.



## Contact us

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